#4

Patent Attorney's Docket No. 016660-103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	
Kar Yan TAM et al		Group Art Unit: Unassigned
Application No.: Unassigned)	Examiner: Unassigned
Filed: October 1, 2001)	
For: METHODS FOR EMBEDDING DATA IN DIGITAL AUDIO DATA)	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. A copy of each of the documents cited below is enclosed.

Foreign Patent Applications

Publication No.CountryPublication DateWO99/23657PCT05/14/1999

To assist the Examiner, the reference is listed on the attached form PTO-1449. It is respectfully requested that an initialled copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

Date: October 1, 2001

James A. LaBarre

Registration No. 28,632

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Patent Attorney's Docket No. 016660-103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

Box PATENT APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:



Enclosed for filing is the utility patent application of <u>Kar Yan TAM</u>, <u>Kai Fung TSANG</u>, <u>Sai Ho KWOK and Shing Chi CHEUNG</u> for <u>Methods for Embedding Data in Digital Audio Data</u>.

- Applicant(s) hereby request(s) that the above-captioned application **NOT BE PUBLISHED** under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- [X] Applicant(s) suggest(s) Figure 2 for inclusion on the front page of the patent application publication and patent.

	Pasiation and patent.
Also	enclosed are:
[X]	4 sheet(s) of [] formal [X] informal drawing(s);
[]	a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 is [] hereby made to _ filed in _ on _;
	[] in the declaration;
[]	a certified copy of the priority document;
[]	an Assignment document;
[X]	an Information Disclosure Statement;
[X]	a patent application bibliographic data sheet; and
[]	Other:
[X]	An [X] executed [] unexecuted declaration of the inventor(s)
	[] also is enclosed [X] will follow.
[]	Please amend the specification by inserting before the first line the sentence This

application claims priority under 35 U.S.C. §§ 119 and/or 365 to _ filed in _ on _; the

[] Please amend the specification by inserting before the first line the sentence:

entire content of which is hereby incorporated by reference.--

- --This application is a continuation of International Application No. _ filed on _, which International Application [] was [] was not published by the International Bureau in English on _.--.
- [X] Small entity status is hereby claimed.



Page 2

[X] The filing fee has been calculated as follows [] and in accordance with the enclosed preliminary amendment:

	No. Of CLAIMS	OF EXTRA		FEE	
Basic Application Fee					\$740.00 (101)
Total Claims	28	MINUS 20 =	8	× \$18.00 (103) =	144.00
Independent Claims	7	MINUS 3 =	4	× \$84.00 (102) =	366.00
If multiple dependent claims are presented, add \$280.00 (104)					
Total Application Fee					1,220.00
If small entity status is claimed, subtract 50% of Total Application Fee					610.00
Add Assignment Recording Fee \$40.00 (581) if Assignment document is enclosed					-0-
TOTAL APPLICATION FEE DUE					610.00

[]	This application is being filed without a filing fee.	Issuance of a Notice to File Missing
	Parts of Application is respectfully requested.	_

- [X] A check in the amount of \$ 610.00 is enclosed for the fee due.
- [] Charge \$ _____ to Deposit Account No. 02-4800 for the fee due.
- [X] The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Please address all correspondence concerning the present application to:

James A. LaBarre BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 1, 2001

James A. LaBarre Registration No. 28,632

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

Patent Attorney's Docket No. <u>016660-103</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re P	'atent Ap	plication of)		
Kar Yan TAM et al) Group Art Unit: Unassigned		
Application No.: Unassigned) Examiner: Unassigned		
Filed:	October	1, 2001	}		
For:		ODS FOR EMBEDDING DATA GITAL AUDIO DATA	\		
			CLOSURE STATEMENT TTAL LETTER		
		missioner for Patents .C. 20231			
Sir:					
above-		ed is an Information Disclosure S d patent application.	tatement and accompanying form PTO-1449 for the		
	[X]	No additional fee for submission	of an IDS is required.		
	[]	The fee of \$180.00 (126) as set	forth in 37 C.F.R. § 1.17(p) is also enclosed.		
	[]	A certification under 37 C.F.R.	§ 1.97(e) is also enclosed.		
	[]	A certification under 37 C.F.R. in 37 C.F.R. § 1.17(p) are also	§ 1.97(e), and the fee of \$180.00 (126) as set forth enclosed.		
	[]	Charge \$ to Depos	sit Account No. 02-4800 for the fee due.		
	[]	A check in the amount of \$	is enclosed for the fee due.		
	6, 1.17 a		to charge any appropriate fees under 37 C.F.R. this paper, and to credit any overpayment, to bmitted in duplicate.		
		:	Respectfully submitted,		
Alexan	ox 1404 Idria, Vi 1336-6620	rginia 22313-1404	BURNS, DOANE, SWECKER & MATHIS, L.L.P. By: James A. LaBarre Registration No. 28,632		
Date:	October	1 2001			

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	SHEET 1	051
TTORNEY'S DKT NO.	APPLICATION NO.	
16660-103	Unassigned	∵.
PPLICANT		O. P.
ar Yan TAM et al		⊃દ્વ
ILING DATE	GROUP	26
ctober 1, 2001	Unassigned	öč

	<u> </u>		U.S. PATENT DOC	JMENTS					
	U.S. Patent Document								
Examiner Initials	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document			Date of Publication (MM-DD-YYYY)			
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Examiner	Foreign Patent					Data of BubBasel		T	
Initials	Number	Kind Code (if known)	Country			ate of Publication MM-DD-YYYY)	Yes	lation no	
	WO99/23657		PCT			05/14/1999			
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		NON P	ATENT LITERATURI	E DOCUMEN	NTS				
Examiner Initials	Include item (book,	name of author magazine, jour	(in CAPITAL LETTERS), nal, serial, symposium, c publisher, city and/or co	atalog, etc.), d	ate, page	e(s), volume-issue numl	he per(s),		
									
	 								
				<u> </u>					
	<u> </u>								
Examiner Signature				Date Considered					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.